



Attorney Docket No.: AVARS-02700

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2661

162 N. Wolfe Road Sunnyvale, CA 94086

(408) 530-9700

TRANSMITTAL LETTER

Examiner:

In re Application of:

Mansour J. Karam et al.

Serial No.: 10/070,338

Filed: December 12, 2002

For:

METHOD AND APPARATUS FOR

CHARACTERIZING THE

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

Sir:

Customer No.: 28960 QUALITY OF NETWORK PATH

Enclosed please find a Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

You will also find enclosed the associated Transmittals, Electronic Information Disclosure Statements, and United States Patent and Trademark Office Acknowledgment Receipts for the electronically filed Information Disclosure Statement (EFS ID #80866) and (EFS ID #80868) filed on March 25, 2005.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OW



Attorney Docket No.: AVARS-02700

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

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Thomas B. Haverstock

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HAVERSTOCK & OWERS LLP.





Attorney Docket No.: <u>AVARS-02700</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mansour J. Karam et al.

Serial No.: 10/070,338

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MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Examiner:
SUPPLEMENTAL INFORMATION

**DISCLOSURE STATEMENT** 

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Group Art Unit: 2661

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

United States Patents or Published Patent Applications have been filed electronically (EFS ID #80866) and (EFS ID #80868). Applicants have become aware of the following printed publication which may be material to the examination of this application:

- European Publication No. EP 0 504 537 A1;
- European Publication No. EP 0 528 075 A1;
- European Publication No. EP 0 598 969 B1;
- European Publication No. EP 0 788 267 A2;
- European Publication No. EP 0 942 560 A2;
- European Publication No. EP 0 977 456 A2;
- European Publication No. EP 0 982 901 A1;
- European Publication No. EP 0 999 674 A1;
- PCT Publication No. WO 94/08415;
- PCT Publication No. WO 99/06913;

Attorney Docket No.: <u>AVARS-02700</u>

- PCT Publication No. WO 99/14907;
- PCT Publication No. WO 99/14931;
- PCT Publication No. WO 99/14932;
- PCT Publication No. WO 99/18751;
- PCT Publication No. WO 99/30460;
- PCT Publication No. WO 99/39481;
- PCT Publication No. WO 00/04458;
- PCT Publication No. WO 00/25224;
- PCT Publication No. WO 00/38381;
- PCT Publication No. WO 00/45560;
- PCT Publication No. WO 00/52906;
- PCT Publication No. WO 00/62489;
- PCT Publication No. WO 00/72528 A1;
- PCT Publication No. WO 00/79362 A2;
- PCT Publication No. WO 00/79730 A2;
- PCT Publication No. WO 01/06717 A1;
- PCT Publication No. WO 01/13585 A1;
- Z. Wang et al., "Resource Allocation for Elastic Traffic: Architecture and Mechanisms," Conference Proceedings Article, 2000, XP010376681, pages 159-169;
- C. V. Papadopoulos et al., "Protection and Routing Algorithms for Network Management -The Case of Transmission Networks," Microprocessing and Microprogramming 38 (1993), XP000383771, pages 163-170;
- J. Yu, "Scalable Routing Design Principles," Ref. No. RFC 2791, Network Working Group, July 31, 2000, pages 1-26;
- Paul Francis et al., "An Architecture for a Global Internet Host Distance Estimation Service," pages 1-17;
- T. Bates et al., "Multiprotocol Extensions for BGP-4", XP-00219077, June 2000, ppg. 1-10;
- S. Kumar et al., "The MASC/BGMP Architecture for Inter-domain Multicast Routing," 12 pages;
- S. Berson et al., "An Architecture for Advance Reservations in the Internet,"
   USC Information Sciences Institute, July 16, 1998, pages 1-21;

PATENT Attorney Docket No.: AVARS-02700

- R. P. Draves et al., "Constructing Optimal IP Routing Tables," 1999 IEEE, 1-10;
- R. Govindan et al., "An Analysis of Internet Inter-Domain Topology and Route Stability," USC Information Sciences Institute, 1997 IEEE, 8 pages;
- V. Paxson, "Toward a Framework for Defining Internet Performance Metrics," <a href="http://www.isoc.org/inet96/proceedings/d3/d3\_3.htm">http://www.isoc.org/inet96/proceedings/d3/d3\_3.htm</a>, pages 1-20;
- C. Alaettinoglu et al. "Routing Policy Specification Language (RPSL)," http://quimby.gnus.org/internet-drafts/draft-ietf-rps-rpsl-v2-00.txt, pages 1-56;
- P. Traina, "BGP-4 Protocol Analysis," March 1995, pages 1-10;
- B. Krishnamurthy et al., "On Network-Aware Clustering of Web Clients," 14 pages;
- Sami Iren et al., "The Transport Layer: Tutorial and Survey", XP-002210446,
   ACM Computing Surveys, Vol. 31, No. 4, December 1999, pages. 360-405;
   and
- D. B. Ingham et al., "Supporting Highly Manageable Web Services",
   Computer Networks and ISDN Systems 29 (1997), pages. 1405-1416.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 3-25-05

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

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- 3 -

FORM PTO-1449 (Modified)

**EXAMINER:** 

with next communication to applicant.

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: AVARS-02700

Serial No.: 10/070,338

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)

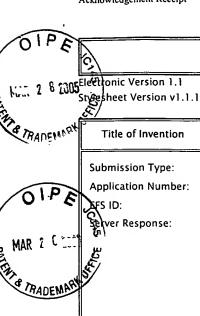
Applicants: Mansour J. Karam et al. Filing Date: December 12, 2002 Group Art Unit: 2661 (37 CER § 1.98(b)) FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS 150,145 Translation Publication Date Country / Patent Office Class Subclass Document Number MAR 2 8 2005 Yes Nο Х EP 0 504 537 A1 09/23/92 EP H04L 12/26 PRADEMARY X AB EP 0 528 075 A1 02/24/93 EP H04L 12/26 02/10/99 H04L Х AC EP 0 598 969 B1 EP 12/18 Х AD EP 0 788 267 A2 08/06/97 EP H04L 29/06 09/15/99 H04L 12/64 X EP ΑE EP 0 942 560 A2 X H040 11/04 02/02/00 EP AF EP 0 977 456 A2 X EP 0 982 901 A1 01/03/00 EP H04L 12/56 AG Х 04/28/04 EP H04L 12/64 AH EP 0 999 674 A1 H04L 12/66 Х WO 94/08415 04/14/94 **PCT** ΑI Х WO 99/06913 02/11/99. **PCT** G06F 13/00 AJ WO 99/14907 03/25/99 PCT H04L 12/56  $\mathbf{X}$ ΑK 7/00 X **PCT** H04M WO 99/14931 03/25/99 AL 7/00 Х H04M AM WO 99/14932 03/25/99 **PCT** 11/04 X WO 99/18751 04/15/99 **PCT** H04Q AN X WO 99/30460 06/17/99 **PCT** H04L 12/00 ΑO 08/05/99 **PCT** H04L 12/66 Х ΑP WO 99/39481 WO 00/04458 01/27/00 **PCT** G06F 17/21 Х ΑQ WO 00/25224 05/04/00 **PCT** G06F 13/00 X AR H04L 12/56 Х 06/29/00 **PCT** AS WO 00/38381 08/03/00 H04L 29/00 Х ΑT WO 00/45560 PCT 29/06 Х WO 00/52906 09/08/00 **PCT** H04L ΑU WO 00/62489 10/19/00 **PCT** H04L 12/56 Х ΑV ΑW WO 00/72528 A1 11/30/00 **PCT** H04L 12/56 Х Х ΑX WO 00/79362 A2 12/28/00 **PCT** G06F H04L 12/00 Х ΑY WO 00/79730 A2 12/28/00 **PCT PCT** H04L 12/56 Х ΑZ WO 01/06717 A1 01/25/01 X PCT H04L 12/46 WO 01/13585 A1 BA 02/22/01 BB OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) Z. Wang et al., "Resource Allocation for Elastic Traffic: Architecture and Mechanisms," Conference Proceedings Article, 2000, XP010376681, pages 159-169. **BC** C. V. Papadopoulos et al., "Protection and Routing Algorithms for Network Management -The Case of Transmission Networks," Microprocessing and Microprogramming 38 (1993), XP000383771, pages 163-170. BD J. Yu, "Scalable Routing Design Principles," Ref. No. RFC 2791, Network Working Group, July 31, 2000, pages 1-26. BE BF Paul Francis et al., "An Architecture for a Global Internet Host Distance Estimation Service," pages 1-17. T. Bates et al., "Multiprotocol Extensions for BGP-4", XP-00219077, June 2000, ppg. 1-10. BG Date Considered: Examiner:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form

FORM PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: AVARS-02700	Serial No.: 10/070,338	
'	ION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)	Applicants: Mansour J. Karam et al.		
(37 CFR § 1.98(b))	(See Several Shows It Processary)	Filing Date: December 12, 2002	Group Art Unit: 2661	
	OTHER DOCUMENTS (Including Author, Title, D	Date, Relevant Pages, Place of Publication)		
ВН	S. Kumar et al., "The MASC/BGMP Architecture for Inter-dom	ain Multicast Routing," 12 pages.		
ВІ	S. Berson et al., "An Architecture for Advance Reservations in t	the Internet," USC Information Sciences Inst	itute, July 16, 1998, pages 1-21.	
ВЈ	R. P. Draves et al., "Constructing Optimal IP Routing Tables,"	1999 IEEE, 1-10.	,	
вк	R. Govindan et al., "An Analysis of Internet Inter-Domain Topo pages.	logy and Route Stability," USC Information	Sciences Institute, 1997 IEEE, 8	
BL	V. Paxson, "Toward a Framework for Defining Internet Perform 20.	nance Metrics," http://www.isoc.org/inet96/p	proceedings/d3/d3 3.htm, pages 1-	
ВМ	C. Alaettinoglu et al. "Routing Policy Specification Language (I pages 1-56.	RPSL)," http://quimby.gnus.org/internet-dra	fts/draft-ietf-rps-rps1-v2-00.txt,	
BN	P. Traina, "BGP-4 Protocol Analysis," March 1995, pages 1-10			
ВО	B. Krishnamurthy et al., "On Network-Aware Clustering of Wel	b Clients," 14 pages.		
BP	Sami Iren et al., "The Transport Layer: Tutorial and Survey", XI 360-405.	P-002210446, ACM Computing Surveys, Vo	ol. 31, No. 4, December 1999, pages.	
BQ	D. B. Ingham et al., "Supporting Highly Manageable Web Servi	ices", Computer Networks and ISDN System	ns 29 (1997), pages. 1405-1416.	
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Page 1 of 2

\*10/070338\*



# UNITED STATES PATENT AND TRADEMARK OFFICE

ACKNOWLEDGEMENT RECEIPT

Title of Invention METHOD AND APPARATUS FOR CHARACTERIZING THE QUALITY OF NETWORK PATH

Submission Type:

ver Response:

Information Disclosure Statement

Application Number: 10/070338

80866 FS ID:

Confirmation Code	Message
ISVR1	Submission was successfully submitted – Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	2450
USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number:1(866) 217–9197 Website: http://www.uspto.gov/ebc/

First Named Applicant:

Mansour Karam

Attorney Docket Number:

2005-03-25 11:41:09 EDT Timestamp:

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File Listing:

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Acknowledgement Receipt

Page 2 of 2

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Name: Office,ou=Department of Commerce,o=U.S. Government,c=US Page 2 of 2

Transmittal

TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

METHOD AND APPARATUS FOR CHARACTERIZING THE QUALITY OF NETWORK PATH Invention Title of

\*10/070338\* 10/070338

2002-12-12 Application Number:

Mansour J. Karam First Named Applicant:

Confirmation Number: 2450

Attorney Docket Number:

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submitted to the United States Patent and Trademark Office, using either the USPTO provided prosecution of a patent application noted in the submission. This document(s) will become I, the undersigned, certify that I have viewed a display of document(s) being electronically style sheet or software, and that this is the document(s) I intend for initiation or further part of the official electronic record at the USPTO.

Submitted by:	Elec. Sign.	Sign. Capacity
Thomas B. Haverstock Registered Number: 3257?	/tbh/	Attorney

Documents being submitted	Files
us-ids	AVARS_02700A-usidst.xml
	us-ids.dtd
	us-ids.xsl
Comments	

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4748658

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Cutler, Jr et al.

Eadline

Lin

Picard

Bottomley et al.

Nguyen et al.

Anderson

Arora et al.

#### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

METHOD AND APPARATUS FOR CHARACTERIZING THE QUALITY OF Title of **NETWORK PATH** Invention

10/070338 Application Number: Confirmation Number: 2450

First Named Applicant: Mansour Karam

Attorney Docket Number:

Search string: ( 4284852 or 4345116 or 4495570 or 4594704 or 4669113 or

4704724 or 4726017 or 4748658 or 4788721 or 4839798 or 4920432 or 4931941 or 4939726 or 4949187 or 4949248 or 5142570 or 5172413 or 5253341 or 5287537 or 5291554 or 5341477 or \$371532 or \$375070 or \$406502 or \$410343 or \$414455 or \$442389 or \$442390 or \$442749 or \$452294 or 5467345 or \$471622 or \$475615 or \$477536 or \$508732 or 5515511 or 5519435 or 5521591 or 5528281 or 5563875 or 5629930 or 5631897 or 5636216 or \$668800 or 5675741 or

5754639 or 5787253 or 5793976 or 5802106 ).pn.

**US Patent Documents** 

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

	init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
ľ		1	4284852	1981-08-18	Szybicki et al.	]		
		2	4345116	1982-08-17	Ash et al.	7		
		3	4495570	1985-01-22	Kitajima et al.	1		
		4	4594704	1986-06-10	Ollivier	1		
		5	4669113	1987-05-26	Ash et al.	7		
ľ	$\neg$	6	4704724	1987-11-03	Krishnan et al.	Ī		
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Information Disclosure Statement

Page 3 of 3

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44	5668800	1997-09-16	Stevenson
45	5675741	1997-10-07	Aggarwal et al.
46	5754639	1998-05-19	Flockhart et al.
47	5787253	1998-07-28	McCreery et al.
48	5793976	1998-08-11	Chen et al.
49	5802106	1998-09-01	Packer

## Signature

Examiner Name	Date

\*10/070338\*

OIR

UNITED STATES PATENT AND TRADEMARK OFFICE ACKNOWLEDGEMENT RECEIPT

MAR 2 8 Electronic Version 1.1
Stylesheet Version v1.1.1

Title of Invention

METHOD AND APPARATUS FOR CHARACTERIZING THE QUALITY OF NETWORK PATH

Submission Type:
Application Number:

Information Disclosure Statement

10/070338

EFS ID:

80868

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Confirmation Code	Message
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Mansour Karam

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Page 2 of 2

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Stylesheet Version v1.1.0 Electronic Version v1.1

TRANSMITTAL

METHOD AND APPARATUS FOR CHARACTERIZING THE QUALITY OF NETWORK PATH Invention Title of

\*10/070338\*

2002-12-12 10/070338 Application Number:

Mansour J. Karam First Named Applicant:

Confirmation Number: 2450 Attorney Docket Number:

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Submitted by:	Elec. Sign.	Sign. Capacity
Thomas B. Haverstock	/tbh/	
Registered Number: 32571		Attorney

Documents being submitted	Files
ns-ids	AVARS_027008-usidst.xml
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\*10/070338\*

#### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18 Stylesheet Version v18.0

METHOD AND APPARATUS FOR CHARACTERIZING THE QUALITY OF Title of NETWORK PATH Invention

10/070338 Application Number: Confirmation Number: 2450

First Named Applicant: Mansour Karam

Attorney Docket Number:

Search string: ( \$805594 or \$835710 or \$845091 or \$884047 or \$974457 or 6005264 or 6009081 or 6026411 or 6069889 or 6108703 or

6119235 or 6130890 or 6167052 or 6173324 or 6185598 or 6275470 or 6282562 or 6286045 or 6339595 or 6341309 or 6415323 or 6526056 or 6601098 or 6608841 or 6611872 or 6625648 or 6631419 or 6633640 or 6714549 or 6748426 or 6766381 or 20010037311 or 20020038331 or 20020124100 or

20030016770 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

	nit	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Ī	$\neg$	1	5805594	1998-09-08	Kotchey et al.			
Ī		2	5835710	1998-11-10	Nagami et al.			
	$\neg$	3	5845091	1998-12-01	Dunne et al.			
ľ		4	5884047	1999-03-16	Aikawa et al.			
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Ī		8	6026411	2000-02-15	Ronen			
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Information Disclosure Statement

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Signature	
Examiner Name	Date

#### **US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
1	20010037311	2001-11-01	McCoy et al.	Al		
2.	20020038331	2002-03-28	Flavin	Al		
3	20020124100	2002-09-05	Adams	Al		
4	20030016770	2003-01-23	Trans et al.			
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